

[REDACTED]

From: [REDACTED]@CBP.DHS.GOV>
Sent: Monday, August 20, 2012 9:38 AM
To: [REDACTED]
Subject: RE: Amtrak - USCBP eTicketing Reports

[REDACTED]

Thank you.

[REDACTED] APD Passenger
U.S. Customs and Border Protection
Office of Field Operations
237 West Service Road
Champlain, NY 12919
[REDACTED]@dhs.gov
[REDACTED] (desk)
[REDACTED] (fax)
[REDACTED] (blackberry)

From: [REDACTED]
Sent: Thursday, August 16, 2012 1:18 PM
To: [REDACTED]
Subject: FW: Amtrak - USCBP eTicketing Reports

H [REDACTED]

The below is what I sent to [REDACTED] last week.

Thanks!

[REDACTED]
Amtrak
Project Manager -- Sales and Reservation Systems
60 Massachusetts Ave. NE 4W-111
Washington, DC. 20002
Phone: [REDACTED] (Mobile) [REDACTED]

From: [REDACTED]
Sent: Friday, August 10, 2012 1:41 PM
To: [REDACTED]@dhs.gov'
Cc: [REDACTED]
Subject: Amtrak - USCBP eTicketing Reports

Good afternoon [REDACTED]

Thank you very much for the thorough tour of the port and the detail explanation of the processes you go through to check our passengers. We appreciate yourself and [REDACTED] taking the time to do this.

As promised, this link <https://citrix.amtrak.com/Citrix/AccessPlatform/auth/login.aspx> will be what you team use to access the Amtrak reports. We will put procedures together on what to do when the site is accessed and where to find the reports.

To grant access to our system we need the following information from the members of your team who will access the reports. We will provide RSA SecureIDs to each of the team members as well as ID's to access the system.

- Full Name
- Full Date of Birth
- Social Security Number

As requested [REDACTED] is currently emailing the reports at 10:30a to your team so you have enough time to analyze the on board report prior to departing to the stations.

Pease feel free to contact me if you have any questions. I look forward to hearing back from you with the information above.

Thanks again!

[REDACTED]
Amtrak
Project Manager – Sales and Reservation Systems
60 Massachusetts Ave. NE 4W-111
Washington, DC, 20002
Phone: [REDACTED] (Bell) [REDACTED] (Mobile) [REDACTED]

Exemption 6

[REDACTED]
From: [REDACTED]
Sent: Friday, August 17, 2012 11:41 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: Amtrak train 68 - August 15th 2012, Onboard Passenger Manifest

Certainly. Copying my colleagues [REDACTED] and [REDACTED] who are also involved in sending this manifest.

From: [REDACTED]@cbp.dhs.gov]
Sent: Friday, August 17, 2012 11:23 AM
To: [REDACTED]
Subject: FW: Amtrak train 68 - August 15th 2012, Onboard Passenger Manifest

Mr. [REDACTED]

Could you add [REDACTED]@dhs.gov to the distribution list for the Amtrak 68 manifests? Also, if possible, can we get the outbound manifest sent solely to this address??

Thanks,

[REDACTED]
Chief CBP Officer - Tactical Operations
U.S. Customs and Border Protection
Office of Field Operations
Champlain, NY
[REDACTED] (Office)
[REDACTED] (Blackberry)
[REDACTED]@dhs.gov

This document and any attachments(s) may contain restricted, sensitive, and/or law enforcement-sensitive information belonging to the U.S. Government.. It is not for release, review, retransmission, dissemination, or use by anyone other than the intended recipient. If you received this communication in error, please immediately notify the sender and delete or destroy this communication and all attachments.

From: [REDACTED]@cbp.dhs.gov]
Sent: Thursday, August 16, 2012 8:24 AM
To: [REDACTED]
Subject: FW: Amtrak train 68 - August 15th 2012, Onboard Passenger Manifest

[REDACTED]
U.S. Customs and Border Protection
Office of Field Operations
237 West Service Road
Champlain, NY 12919
[REDACTED] (phone)
[REDACTED] (fax)

Exemption 6

From: [REDACTED]

Sent: Wednesday, August 15, 2012 10:17 AM

To: [REDACTED]

Subject: Amtrak train 68 - August 15th 2012, Onboard Passenger Manifest

[REDACTED]

From: [REDACTED]@cbp.dhs.gov>
Sent: Friday, August 17, 2012 11:23 AM
To: [REDACTED]
Subject: FW: Amtrak train 68 - August 15th 2012, Onboard Passenger Manifest

[REDACTED]

Could you add [REDACTED]@dhs.gov to the distribution list for the Amtrak 68 manifests? Also, if possible, can we get the outbound manifest sent solely to this address??

Thanks,

[REDACTED]
.. Chief CBP Officer - Tactical Operations
U.S. Customs and Border Protection
Office of Field Operations
Champlain, NY
[REDACTED] (Office)
[REDACTED] (Blackberry)
[REDACTED]@dhs.gov

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From: [REDACTED]@cbp.dhs.gov]
Sent: Thursday, August 16, 2012 8:24 AM
To: [REDACTED]
Subject: FW: Amtrak train 68 - August 15th 2012, Onboard Passenger Manifest

[REDACTED]
U.S. Customs and Border Protection
Office of Field Operations
237 West Service Road
Champlain, NY 12919
[REDACTED] (phone)
[REDACTED] (fax)

From: [REDACTED] [mailto:[REDACTED]]
Sent: Wednesday, August 15, 2012 10:17 AM
To: [REDACTED]
[REDACTED]
[REDACTED]

Subject: Amtrak train 68 - August 15th 2012, Onboard Passenger Manifest

15 JULY 2004

100

[illegible]

Co: [REDACTED]

Exemption 6

[REDACTED]

I've also added additional contacts from the eTicketing team that will assist in providing the necessary information to CBP, namely:

[REDACTED] - Senior Director Sales Distribution & Customer Service
[REDACTED] - Senior Analyst Financial and Operating Reporting

Attached is a sample actual passenger manifest from train 68 this morning. [REDACTED] and I will coordinate sending a similar file on a daily basis to those on this email list. We will aim to send this manifest file at 10:30am each morning unless the train is late to the degree that we think the list is incomplete, in which case the 10:45am email will provide an estimated time for delivery of the completed list.

Regards,

Office: [REDACTED]
Cell: [REDACTED]

From: [REDACTED]
Sent: Tuesday, July 31, 2012 4:35 PM
To: [REDACTED]
Cc: [REDACTED]

[REDACTED]@CBP.DHS.GOV
Subject: RE: Amtrak Clearance

[REDACTED]

As promised, I am replying to all and adding the following Amtrak contacts.

[REDACTED] - Program Director for the e-Ticketing project
[REDACTED] - Superintendent of Operations for the Empire District which includes operations to Montreal
[REDACTED] Assistant Superintendent of Operations
[REDACTED] - District Manager of Stallions

Perhaps we should have occasional conference calls to keep all of us better advised of current problems and new initiatives.

Thanks,

[REDACTED]
Amtrak - Operations - Washington
"Amtrak's Single Point of Contact for Cross Border Operations" - Not my title but it is what I've been designated.
Office - [REDACTED]
Mobile - [REDACTED]

From: [REDACTED] [mailto:[REDACTED]@DHS.GOV]
Sent: Tuesday, July 31, 2012 3:00 PM
To: [REDACTED]
Cc: [REDACTED]

[REDACTED]@CBP.DHS.GOV;
[REDACTED]@CBP.DHS.GOV
Subject: Amtrak Clearance

Exemption 6

It was very nice speaking with you. Your willingness to assist us with our needs is very refreshing. I have attached all those who may have a hand in supervising the inspection of your train. If you create an email group and send the list of onboard passengers after the last Canadian stop it would assist our efforts. Additionally the e-ticketing i-phone we spoke of would be the ultimate solution and look forward to getting one or two of those and some training for our supervisors. Thanks again.

[REDACTED]

[REDACTED] APD Passenger
U.S. Customs and Border Protection
Office of Field Operations
237 West Service Road
Champlain, NY 12919
[REDACTED]@dhs.gov
[REDACTED] (desk)
[REDACTED] (fax)
[REDACTED] (blackberry)

[REDACTED]

From: [REDACTED]
Sent: Wednesday, August 01, 2012 8:32 AM
To: [REDACTED] @CBP.DHS.GOV;
[REDACTED] @CBP.DHS.GOV;
[REDACTED] @CBP.DHS.GOV;
[REDACTED] @CBP.DHS.GOV;
[REDACTED] @CBP.DHS.GOV;
[REDACTED] @cbp.dhs.gov; [REDACTED]
Subject: FW: Amtrak Clearance

Some additional email addresses provided by US C&BP.

From: [REDACTED]
Sent: Tuesday, July 31, 2012 6:37 PM
To: [REDACTED]
Cc: [REDACTED] @CBP.DHS.GOV;
[REDACTED] @CBP.DHS.GOV
Subject: RE: Amtrak Clearance

[REDACTED]

I've also added additional contacts from the eTicketing team that will assist in providing the necessary information to CBP, namely:

[REDACTED] - Senior Director Sales Distribution & Customer Service
[REDACTED] - Senior Analyst Financial and Operating Reporting

Attached is a sample actual passenger manifest from train 68 this morning. [REDACTED] and I will coordinate sending a similar file on a daily basis to those on this email list. We will aim to send this manifest file at 10:30am each morning unless the train is late to the degree that we think the list is incomplete, in which case the 10:45am email will provide an estimated time for delivery of the completed list.

Regards,

Office: [REDACTED]
Cell: [REDACTED]

From: [REDACTED]
Sent: Tuesday, July 31, 2012 4:35 PM
To: [REDACTED]
Cc: [REDACTED] @CBP.DHS.GOV;
[REDACTED] @CBP.DHS.GOV
Subject: RE: Amtrak Clearance

[REDACTED]

As promised, I am replying to all and adding the following Amtrak contacts.

[REDACTED] - Program Director for the e-Ticketing project
[REDACTED] - Superintendent of Operations for the Empire District which includes operations to Montreal
[REDACTED] - Assistant Superintendent of Operations
[REDACTED] - District Manager of Stations

Perhaps we should have occasional conference calls to keep all of us better advised of current problems and new initiatives.

Thanks,

[REDACTED]
Amtrak - Operations - Washington
"Amtrak's Single Point of Contact for Cross Border Operations" - Not my title but it is what I've been designated.
Office - [REDACTED]
Mobile - [REDACTED]

From: [REDACTED] @CBP.DHS.GOV]

Sent: Tuesday, July 31, 2012 3:00 PM

To: [REDACTED]

Cc: [REDACTED] @CBP.DHS.GOV

[REDACTED] @CBP.DHS.GOV

Subject: Amtrak Clearance

[REDACTED]

It was very nice speaking with you. Your willingness to assist us with our needs is very refreshing. I have attached all those who may have a hand in supervising the inspection of your train. If you create an email group and send the list of onboard passengers after the last Canadian stop it would assist our efforts. Additionally the e-ticketing i-phone we spoke of would be the ultimate solution and look forward to getting one or two of those and some training for our supervisors. Thanks again.

[REDACTED]

[REDACTED] APD Passenger
U.S. Customs and Border Protection
Office of Field Operations
237 West Service Road
Champlain, NY 12919

[REDACTED] @dhs.gov

(desk)

(fax)

(blackberry)

Exemption 6

[REDACTED]

From: [REDACTED] <[REDACTED]@tsa.dhs.gov>
Sent: Tuesday, July 31, 2012 2:13 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: RE: U.S. Customs RSP

[REDACTED]

This is to confirm the information I provided by phone. The US Customs and Border Protection individual that you may want to contact is: [REDACTED], Chief of Staff, Office of Field Operations. His phone number is: [REDACTED]

Please let me know if we can provide any additional information.

[REDACTED]

From: [REDACTED]
Sent: Tuesday, July 31, 2012 1:05 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: FW: U.S. Customs RSP
Importance: High

[REDACTED]

I've left you a vm requesting a call back (I don't have a phone number for [REDACTED]). Our train traveling south from Montreal today had some difficulties with US Customs inspectors today, where apparently they normally match the paper tickets collected from passengers against the 'planned passenger manifest'. There is a concern that tomorrow's train may not be allowed to enter the country if this cannot be resolved.

Could you please provide me with a point of contact in Customs to help resolve this issue? We can email a real-time passenger manifest to help support any existing processes if necessary. But the report of who is really on the train that in the past they got from the conductors in the form of a stack of paper tickets is being replaced with an electronic manifest on the conductors device.

I would appreciate your quick response to this request.

Regards,

[REDACTED]
Amtrak eTicketing Program Director
Office: [REDACTED]
Cell: [REDACTED]

From: [REDACTED]
Sent: Tuesday, July 31, 2012 12:29 PM
To: [REDACTED]
Cc: [REDACTED]
Subject: FW: U.S. Customs RSP

[REDACTED] or [REDACTED] can you please advise what communication has been had with Customs on eTicketing? There appears to be a problem today, and I'm assuming its a mis-communication internally to them since we didn't hear of this yesterday, but we'll need to know who you've been dealing with to correct the problem.

Thanks,

Exemption 6

District Superintendent Operations,
Amtrak, Empire District

From: [REDACTED]
Sent: Tuesday, July 31, 2012 12:22 PM
To: [REDACTED]
Subject: U.S. Customs RSP

68 is having trouble at RSP customs because customs no longer has physical tickets to match to their manifest. They want to know why no one notified them that Amtrak was going to eticketing? Someone from customs is supposed to call me in a few minutes to find out. They're checking the conductor's eMD device against the manifest right now.

If they've been used to taking the conductor's stack of tickets and matching them to their manifest, not much I can offer them going forward other than checking ID's against their manifest.

AMTRAK'S EMPIRE DISTRICT

[REDACTED]
District Manager, Stations, ALB
525 East St.
Rensselaer, NY 12144
ATS: [REDACTED]
Comm: [REDACTED]
Fax: [REDACTED]

The information contained in this e-mail is intended only for the personal and confidential use of the recipients named above. Information contained in this email may be privileged and confidential. If the reader of this message is not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that you have received this email and any attachments in error and that any review, dissemination, distribution or copying of this message is strictly prohibited. If you have received this communication in error, please notify us immediately by email and delete the original message.

[REDACTED]
From: [REDACTED]
Sent: Tuesday, May 12, 2009 1:58 PM
To: [REDACTED]
Subject: FW: Amish Travelers'
Importance: High

From: [REDACTED]@dhs.gov]
Sent: Tuesday, May 12, 2009 12:22 PM
To: [REDACTED]
Subject: Amish Travelers
Importance: High

Hello,

U.S. Customs and Border Protection (CBP) will soon be sending an official letter to inform Amtrak of documents that may be presented by members of the Old Order Amish and Old Order Mennonite communities entering the United States upon implementation of the Western Hemisphere Travel Initiative (WHTI) at land and sea ports of entry on June 1, 2009.

U.S. citizen members of the Old Order Amish or Old Order Mennonite communities may be presenting a valid and signed Internal Revenue Service (IRS) Form 4029, *Application for Exemption From Social Security and Medicare Taxes and Waiver of Benefits*, as evidence of identity, along with a birth certificate indicating birth within the United States as evidence of citizenship. Alternative documents that may be presented as evidence of citizenship include a U.S. Certificate of Citizenship, a U.S. Certificate of Naturalization, or a U.S. Consular Report of Birth Abroad. At this time, there is no official policy with regard to Canadian members of the Old Order Amish or Old Order Mennonite communities, although we continue to work with them on this matter and will be flexible with WHTI implementation on June 1.

We appreciate your support in accepting these documents, until further notice from CBP, for such travelers entering the United States upon implementation of WHTI on June 1, 2009. A blank IRS Form 4029 can be found at: <http://www.irs.gov/pub/irs-pdf/f4029.pdf>

Please contact me if you have any questions or concerns.

Thanks,

[REDACTED]
Program Manager
Western Hemisphere Travel Initiative
U.S. Customs and Border Protection
[REDACTED]

[REDACTED]

From: [REDACTED]
Sent: Tuesday, September 16, 2008 11:11 AM
To: [REDACTED]
Cc: [REDACTED]
Subject: Border Crossing - ID Types - Final Sizing
Attachments: BCID_SCS.doc

Good morning [REDACTED] and [REDACTED]

Attached is the final High Level Scope and Sizing.

I will begin working on the schedule and timeline.

[REDACTED] will meet with [REDACTED] regarding funding.

Regards,

[REDACTED] PMP
Amtrak System Developer
[REDACTED]

Border Crossing ID types

ITPIR # 2487



High Level Sizing

DOCUMENT

Exemption 6

Prepared By:



Date:

August 28, 2008

Version:

1.4

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Exemption 6

Project Contacts	
Project Sponsor: [REDACTED]	Date:
Comments:	
Business Project Director: [REDACTED]	Date:
Comments:	
Arrow Project Director: [REDACTED]	Date:
Comments:	
Arrow Project Manager: [REDACTED]	Date:
Comments:	

1. Requirements Overview

The US Department of Homeland security has updated the required ID types for land crossing of the United States and Canadian border. Passengers are required to have one of these ID types when using Amtrak as a travel option across the U.S. / Canadian Border. Additionally, after June 1, 2009, two ID types that are currently valid will no longer be accepted.

Enhancements will be made to add the following ID types:

- US Passport Card
- Trusted Traveler Cards (NEXUS, SENTRI, or FAST).
- State or Provincial Issued Enhanced Driver's License.
- Enhanced Tribal Cards
- US Merchant Mariner Document
- Form I-872 American Indian Card

The following ID types will no longer be accepted after June 1, 2009:

- US or CA Birth Certificate
- US Naturalization Certificate

Any changes to the requirements may necessitate further analysis and possible updating of this document, in particular of the high level sizing estimates.

2. Project Deliverables

The SPID processing will be enhanced to accept the following ID types:

- US Passport Card
- Trusted Traveler Cards (NEXUS, SENTRI, or FAST).
- State or Provincial Issued Enhanced Driver's License.
- Enhanced Tribal Cards
- US Merchant Mariner Document
- Form I-872 American Indian Card

The following IDs will not be accepted as a valid ID after June 1, 2009:

- US or CA Birth Certificate
- US Naturalization Certificate

The following ID types will continue to be valid for passengers under age 16:

- US or CA Birth Certificate
- US Naturalization Certificate

The following ID types will continue to be valid for passengers' age 16 to 18 traveling in a group:

- US or CA Birth Certificate
- US Naturalization Certificate

2.1 Arrow

- Validate the new options and option requirements for the Passenger Identification Entry (SPID).
- Develop new date restrictions for Birth Certificate (BC) and Naturalization Certificate (NC).
- Develop new age restrictions for Birth Certificate (BC) and Naturalization Certificate (NC).
- Direct development testing with Department of Homeland Security (DHS) Advanced Passenger Information System (APIS)
- Testing and Support

2.2 Arrow - GDS

- Testing and Support

2.3 EAI / XAAPI

- Update the DTD and Error lookup table.
- Testing and Support

There are no coding changes in the XAAPI Arrow input/out based on the provided information.

There are no anticipated changes to Web Services request and response.

2.4 RailRes

- Define new types for display in the document type pop-up (passport card, etc.)
- Send the new translated type codes (TBD) as part of the SPID entry.
- Remove those types that can no longer be used.
- Testing and Support

2.5 STARS

- Define new types for display in the document type pop-up (passport card, etc.)
- Send the new translated type codes (TBD) as part of the SPID entry.
- Remove those types that can no longer be used.
- Testing and Support

2.6 Internet Booking

- All existing rules and logic in IB for border crossing will remain the same.
- Arrow will enforce all new rules outlined in the requirement document for ITPIR #2487.
- Testing and Support

3. Project Design Considerations

1. Arrow will handle the enforcement of the restricted use scenarios
-

2. No new entries will be required for this project (taken from the requirements document).
3. The country code position of the SPID entry will also be used to pass state codes for ID types that use a state code rather than a country code.
4. The PNR SPID display formats will remain the same.
5. Infant formats remain the same.

4. High Level Dependencies

No known development dependencies.

5. Areas Impacted

Business Area/ Business System	Impact (Y)	Comments	Application/ System Areas	Impact (Y)	Comments
Amtrak Police	Y		AAA/Sine	N	
Amtrak Technologies	Y		Availability	N	
Audit/Control	N		End Transaction	Y	
Call Center MIS	N		Inventory	N	
Carlson (Loyalty)	N		Schedules	N	
CETC	N		Nightly FM	N	
Commissary	N		PNR	Y	
CRM	N		Fares and Pricing	N	
Finance	N		Ticketing	Y	
GDS	Y		Seat Assignment	N	
GD5 - Group Desk Database	N		Manifest	N	
Government Affairs	Y		OMS	N	
Internet	Y		Mail and Express	N	
Mail and Express	N		Security	N	
Marketing	Y		Cash Management	N	
On Board Services	Y		Station Operations	N	
Operations Standards	N		Queues	N	
Outside Vendors	Y	DHS - APIS	TPFAR	N	
Reservation Sales	Y		Recoup	N	
Quik-Trak	N		TPFDF Tables	N	
Metrolink TVM	N		Communications	N	
Revenue Accounting	N		Message Switching	N	
Revenue Management	N		BIS	N	
State Agencies	N		Carlson (Loyalty)	N	
Station Operations	N		Data Warehouse	N	
Tariff	N		DB2	N	
Train Operations (CNOC)	N		GDS	Y	
VRU	N		Quik-Trak	N	
			Internet	Y	
			VRU	N	
			Handheld	N	
			CTI	N	
			BAI	Y	
			RailRes	Y	
			RailResSTARS	Y	
			QTMS	N	
			Western Folder	N	

6. High Level Estimates

6.1 Total Project Estimates

6.1.1 Total AT Estimates

Estimate	Check One	List AT Areas Impacted*	Estimated Hours	Estimated Cost	Hardware or Software costs (Purchases/Licenses)
Less than 100 Hours \$0 - \$8,000					
Between 100 – 500 Hours \$8,000 - \$40,000					
Between 500 – 1000 Hours \$40,000 - \$80,000	X	Arrow EAI/XAAPI RailRes STARS GDS IB	630	\$55,650.00	
Between 1000 – 2000 Hours \$80,000 - \$160,000					
Between 2000 – 4000 Hours \$160,000 - \$320,000					
Over 4000 Over \$320,000					
<u>PROJECT TOTAL COSTS including Hardware</u>					

* BIS, DIS, Arrow, RailRes, RailResSTARS, EAI, etc.

6.1.2 Project Estimate Breakout

The following distribution channels have been included in this estimate:

Distribution Channel	Impacted ? Y/N	Hours Estimate	Cost Estimate
Arrow	Y	300	\$22,500.00
EAI/XAAPI	Y	60	\$4,500.00
RailRes	Y	40	\$3,000.00
RailRes/Stars	Y	40	\$3,000.00
VRU	N		
Quik-Trak	N		
ASAP	N		
Internet	Y	150	\$11,250.00
DIS	N		
Data Warehouse	N		
BIS	N		
Mail & Express	N		
GDS	Y	40	\$3,000.00
Other	N		
Sub-total All Areas		560	\$47,250.00
Project Management (10%)			\$4200.00
Quality Assurance (10%)			\$4200.00
Project Total			\$55,650.00

6.2 Hardware Costs

There are no hardware costs.